Affidavit of John W. Branch Petition to Revive U.S. Patent App. No 09/574,738 under 37 CFR 1.137(a)

I, John W. Branch, hereby state and declare as follows:

- 1. I am employed as a patent attorney at the law firm Darby & Darby, P.C. I am authorized to testify as to the information set forth in this Affidavit, including all facts relating specifically to the discovery of abandonment and efforts to revive U.S. Patent Application No. 09/574,738, the rights of which are owned by F5 Networks, Inc. ('F5'). I make the following statements based upon my personal knowledge.
- 2. On or about June 16, 2005, F5 retained the services of Darby & Darby, P.C., to handle prosecution of U.S. Patent Application 09/547,378. This application serial number, though incorrect, was listed on application transfer material(s) received via email, a copy of which is attached hereto as Exhibit A. These application transfer material(s) include a Notice of Recordation of Assignment document, dated April 22, 2004, a Record of Assignment document, dated September 22, 2003, and an Assignment of Patents document, dated July 23, 2003. Though the Assignment of Patents document correctly lists the title for application number 09/574,738, it unfortunately lists an incorrect application number. i.e., 09/547,738. Both the Notice of Recordation document and the Record of Assignment document list the incorrect application number 09/547,738, instead of the correct application number 09/574,738.
- 3. Even though Darby & Darby, P.C., received patent application transfer material(s) that listed an incorrect application number (09/547,738 instead of 09/574,738), subsequent care and due diligence was afforded to incorrect application number 09/547,738, as is demonstrated by at least the filing of a new Power of Attorney to Prosecute Applications Before the USPTO document and a Statement under 37 CFR 3.73(b) document, both of which are attached hereto as Exhibit B. This document was submitted to the USPTO on or about June 17, 2005. Though the Statement under 37 CFR 3.73(b) document lists the correct title for the application number 09/574,738, it lists the incorrect application number. i.e., 09/547,738. As a result of the incorrect application number 09/547,738 being listed on the received transfer materials, Exhibit A, the Statement under 37 CFR 3.73(b) document prepared by Darby & Darby, P.C., lists and was directed to an incorrect application number.
- 4. On October 2, 2007, a Patent Assignment, attached as Exhibit C, was filed with the USPTO for application number 09/574,738, correctly identifying F5 as the assigned owner of the application. This submission included a copy of a redacted Asset Purchase Agreement signed on July 23, 2003, which indicated the rights to the correct application number 09/574,738 as being acquired from uRoam, Inc ('uRoam') by F5. The Asset Purchase Agreement lists the correct application number and title for application number 09/574,738.
- 5. On October 5, 2007, a Power of Attorney and Correspondence Address Indication Form was filed in application number 09/574,738, indicating Darby & Darby,

Affidavit of John W. Branch

Petition to Revive U.S. Patent App. No 09/574,738 under 37 CFR 1.137(a)

P.C., as appointed by F5 to prosecute this application. A copy of this Power of Attorney and Correspondence Address Indication Form is attached as Exhibit D. The filing of this form corrects the error repeated in previous filings, such as Exhibit B.

- 6. On October 17, 2007, the status of application number 09/574,738 was first learned by Darby & Darby, P.C., through a phone call with the Office of Initial Patent Examination ('OIPE'). Through this telephone communication, verbal indication was given by the OIPE that the Power of Attorney and Correspondence Address Indication Form, submitted October 5, 2007, had not been processed because the application had gone abandoned. Neither visual confirmation of this status, nor review of a related Notice of Abandonment, was possible at this time because the application had not been scanned into the USPTO Patent Application Information Retrieval system, nor had F5 or its appointed representatives received such notice. The status of application number 09/574,738 was indicated to F5 on October 17, 2007 via email, a copy of which is attached hereto as Exhibit E.
- 7. On or about January 8, 2008, Darby & Darby, P.C., received copies of the application file for application number 09/574,738 from the law firm Blakely, Sokoloff, Taylor, and Zafman, LLC ('BSTZ'), which was the law firm retained by uRoam to handle prosecution of the application. Included in this application file were copies of a Non-Final Office Action mailed by the USPTO on May 21, 2004, a letter reporting this Office Action from BSTZ to uRoam dated August 17, 2004, and a copy of a Notice of Abandonment mailed December 12, 2004. Copies of these documents are included herewith as Exhibits F, G, and H, respectively. The correspondence addresses on each of these documents refer to either representatives of uRoam or BSTZ, neither of which, at the date associated with each document, reflected F5 as owner of this application.
- 8. Also included in the application file received by Darby & Darby, P.C., from BSTZ on or about January 8, 2008, was an envelope sent from and returned to BSTZ with a "return to sender" address label. Text on this label indicates that uRoam did not receive correspondence from BSTZ that was mailed on or about August 20, 2004. Attached is a copy of this envelope as Exhibit J. Again, it is noted however, that neither BSTZ nor uRoam, at the mailing or return of this envelope, represented the proper owners of this application.
- 9. To the best of my knowledge, the data entry error reflected in the received application transfer documents, Exhibit A, is the entire cause of the delay at issue. To the best of my knowledge, this error arrived unexpectedly and through unforeseen fault or imperfection of agencies employed by prior counsel.
- 10. My reliance on the correctness of the application numbers listed in the application transfer documents, Exhibit A, represents the care and diligence that a prudent and careful person would observe in carrying out his or her most important business. The reliability of the previous counsel, along with the nature and source

Affidavit of John W. Branch

Petition to Revive U.S. Patent App. No 09/574,738 under 37 CFR 1.137(a)

of the error, justified the employment of no additional safeguards to ensure that the data on the application transfer materials had been entered correctly.

- 11. To the best of my knowledge, proper and timely notifications of changes in correspondence address were submitted to the USPTO for this application. This understanding is validated, for example, by the Power of Attorney to Prosecute Applications Before the USPTO document and Statement under 37 CFR 3.73(b) document, Exhibit B, which were submitted to the USPTO on or about June 17, 2005.
- 12. I am rectifying this situation under all other conditions of promptness upon discovery of the error. Periods subsequent to the filing of a proper Record of Assignment, Exhibit C, reflect the time during which efforts were continually being made to ascertain the status of the application as well as determine the details and cause of the original error. Such periods include amounts of time needed to collect information and records from a notable number of different parties, as well as obtain the identity and extent of involvement of these parties.

I make these statements under per true and correct to the best of my re	nalty of perjury and hereby swear that the foregoing is ecollection.
	John Branch, Attorney at Law Darby & Darby, P.C.
	Date: Witnessed
	Date:

AFFIDAVIT OF JOHN W. BRANCH - EXHIBIT LIST

Exhibit	Description			
Exhibit A	Record of transfer to Darby via G. Mager email 6/16/05			
	including Notice of Recordation of Assignment dated			
	4/22/2004, Recordation of Assignment, dated 9/22/2003,			
	and Assignment of Patents, dated 7/23/2003			
Exhibit B	09/547,738 Power of Attorney and 3.73(b) Statement			
	filed 06/17/2005			
Exhibit C	Patent Assignment for 09/574,738 filed 10/02/2007,			
	including Asset Purchase Agreement for 09/574,738,			
	dated 07/23/2003			
Exhibit D	Power of Attorney for 09/574,738 filed 10/05/2007			
Exhibit E	Email to F5 indicating status of 09/574,738, dated			
	10/17/07			
Exhibit F	Non-Final Office Action for 09/574,738 mailed			
	05/21/2004			
Exhibit G	BSTZ reporting letter for 09/574,738, dated 08/17/2004			
Exhibit H	Notice of Abandonment for 09/574,738, mailed			
	12/12/2004			
Exhibit J	BSTZ return envelope, dated 08/20/2004			

EXHIBIT A

From: Branch, John (internal) Sent: Thu 6/16/2005 02:31 PM Rcvd: Thu 6/16/2005 02:31 PM To: O'Connor, Renee CC: Subject: FW: patents
Renee,
Please prepare a POA for all of these documents. Joanne Reiter, VP of F5 Networks will be signing the document. Please send to Lisa Cottrell and cc me and Gary Mager.
BR,
john
Original Message From: Gary Mager [mailto:g.mager@F5.com] Sent: Thursday, June 16, 2005 3:18 PM To: Branch, John Subject: patents

Gary Mager Intellectual Property Attorney | g.mager@f5.com | www.f5.com | P. 206.272.6573 | F. 206.272.5565 | F5 Networks | 401 Elliot Ave West | Seattle, WA 98119



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 22, 2004

PTAS



ROBERT C. CUMBOW 2801 ALASKAN WAY SUITE 300, PIER 70 SEATTLE, WA 98112-1128

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/23/2003

REEL/FRAME: 014524/0012 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

UROAM, INC., FORMERLY KNOWN AS

DOC DATE: 07/23/2003 FILANET CORPORATION

ASSIGNEE:

F5 NETWORKS, INC.

401 ELLIOTT AVENUE WEST

SEATTLE, WASHINGTON 98119-4004

SERIAL NUMBER: 09738003 FILING DATE: 12/14/2000

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09272807 FILING DATE: 03/19/1999

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09273238 FILING DATE: 03/19/1999

PATENT NUMBER: ISSUE DATE: 014524/0012 PAGE 2

SERIAL NUMBER: 09853743 FILING DATE: 05/10/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09870252 FILING DATE: 05/29/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09881225 FILING DATE: 06/12/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09826266 FILING DATE: 04/03/2001

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09547738 FILING DATE: 04/11/2000

PATENT NUMBER: ISSUE DATE:

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

Form PTO-159 U.S. DEPARTMENT OF COMMERCE (Rev. 10/02) U.S. Patent and Trademark Office OMB No. 0651-0027 (exp. 6/30/2005) 102561295 To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies) Name: F5 Networks, Inc. uRoam, Inc., formerly known as Filanet Corporation Internal Address: Additional name(s) of conveying party(ies) attached? 3. Nature of conveyance: ✓ Assignment Merger Street Address: 401 Elliott Avenue West Security Agreement Change of Name Other____ City: Seattle State: WA Zip: 98119-4004 Execution Date: 7/23/2003 Additional name(s) & address(es) attached? 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:____ A. Patent Application No.(s) 09/738,003; B. Patent No.(s) 09/272.807: 09/273.238: 09/853.743 Additional numbers attached? Yes No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 8 concerning document should be mailed: 7. Total fee (37 CFR 3.41).....\$_320 Robert C. Cumbow Enclosed Internal Address:_____ Authorized to be charged to deposit account 8. Deposit account number: 07-1847 Street Address: 2801 Alaskan Way, Suite 300 (Please charge any additional fees if the enclosed payment is insufficient to cover the recordation fees) Pier 70 City: Seattle State: WA Zip: 981211128 DO NOT USE THIS SPACE 9. Signature. 1903 LMUELLER (10000023 09738003 320.00 AP FC: 1021

Robert C. Cumbow Name of Person Signing 09/22/2003

Date

Additional PATENT APPLICATION Numbers:

09/870,252 09/881,225 09/826,266

09/547,738

ASSIGNMENT OF PATENTS

WHEREAS uRoam, Inc. ("Assignor"), formerly known as Filanet Corporation, a California corporation with its address at 757 North Pastoria Avenue, Sunnyvale, California 94085, is the owner of each of the patents (hereinaster collectively the "Patents") listed in Exhibit 1, attached hereto and incorporated herein by reference, all of which patent(s) were issued by or filed with the United States Patent and Trademark Office under the patent numbers listed in the Exhibit 1;

WHEREAS F5 Networks, Inc. ("Assignee"), a Washington corporation with its address at 401 Elliot Avenue West, Seattle, Washington 98119-4004, is desirous of acquiring said Patents and applications;

NOW, THEREFORE, for good and valuable consideration, receipt and sufficiency of which are hereby acknowledged, Assignor does hereby assign unto Assignee, all rights, title and interest in and to the Patents owned by Assignor.

		URoam, Inc.
		By:Skip Llass
		Skip Glass President
		Dated: July 23, 2003
STATE OF Washington)) ss.	
County of King)	

On this 23rd day of July, 2003, before me appeared Skip Glass, the person who signed this instrument, who, being by me duly sworn, acknowledged that he was the President of uRoam, Inc., and has signed said instrument with the free and voluntary act and with the authority to act on behalf of said corporation.

Notary Public in the State of Washington.

residing at: <u>DDU SW BOIST FEDERAL WAY, WA</u>
-1-1-1-5 98023 My commission expires:__

m28437-435597.doc

EXHIBIT 1 U.S. PATENT APPLICATIONS

Patent	Appl No.	Status
Arbitration and Crossbar Device and Method	09/738,003	Pending
Tunneling Between a Bus and a Network	09/272,807	Pending
Optimizations for Tunneling Between a Bus and a Network	09/273,238	Pending
Method of Secure Communication Over a Distributed Network Without Using Secure Socket Layer	09/853,743	Pending
Method and Apparatus to Balance Flow Loads in a Multipurpose Networking Device	09/870,252	Pending
Method and Apparatus to Facilitate Automatic Sharing in a Client Server Environment	09/881,225	Pending
Method for Configuring a Network Element Having a Unknown Protocol Address	09/826,266	Pending
Method and Apparatus for Accessing a Computer Behind a Firewall	09/547.738	Pending

/er

COMPANY:

Auto-Reply Facsimile Transmission



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Fax Information

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11 (including cover page)

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	John W. Branch			
PHONE:	(206) 262-8900			
Attorney D	kt.# 08204/9408204	-000		
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June 17, 2005

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DARBY & DARBY P.C.

John W. Branch

PHONE:

(206) 262-8900

Attorney Dkt. #:

08204/9408204-000

PAGES (Including Cover Sheet):

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Certificate of Transmission (1 page)

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Statement Under 37 CFR 3.73(b) for Serial No. 09/738,003 (1 page)

Statement Under 37 CFR 3.73(b) for Serial No. 09/272,807 (1 page)

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Statement Under 37 CFR 3.73(b) for Serial No. 09/870,252 (1 page)

Statement Under 37 CFR 3.73(b) for Serial No. 09/881,225 (1 page)

Statement Under 37 CFR 3.73(b) for Serial No. 09/826,266 (1 page)

Statement Under 37 CFR 3.73(b) for Serial No. 09/547,738 (1 page)

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John W. Branch

PHONE: (206) 262-8900

Attorney Dkt. #: 08204/9408204-000

PAGES (Including Cover Sheet): __11_

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DARBY & DARBY P.C.

P.O. Box 5257, New York, New York 10150-5257 Telephone: (206) 262-8900 Facsimile: (212) 527-7701

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Application No. (if known): 10/438,229

Attorney Docket No.: 08204/000S012-US0

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on	June 17, 2005
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John W. Branch

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41,633

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Statement Under 37 CFR 3.73(b) for Serial No. 09/826,266 (1 page)

Statement Under 37 CFR 3.73(b) for Serial No. 09/547,738 (1 page)

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Applicant/Patent Owner: F5 Networks, Inc.							
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	F5 Networks, li	nc	<i>p</i>	corporation n, perturbly, university, government agency, etc.)			
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EUDOTE LINOTE	Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)						
			sthortzed to act on behal	If of the assignee.			
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	Vice President						
		Title					

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Under the Pr	eperwork Reduction Act o	1995, no persons are required to					
	STATEMENT UNDER 37 CFR 3.73(b)						
• •	Patent Owner:F						
Application		09/826,266					
Entitled:	METHOD FOR C	ONFIGURING A NETWO	ORK ELEMENT HAVING	3 A UNKNOWN PROTOCOL			
(Name of	F5 Networks, Inc. , a corporation (Type of Assignes, e.g. corporation, pathership, university, government spency, stc.)						
states that							
		entire right, title, and into	erest; or				
2.	an essignee of les	s than the entire right, title	and Interest.				
	The extent (by per	centage) of its ownership	interest is	%			
		nt Identified above by virt					
A XA	n assignment from	the inventor(s) of the pat	ent application/patent is	sentified above. The assignment			
w	ras recorded in the	United States Patent and	Trademark Office at R	661 014524			
	rame 0012	, or for which a cop	y thereof is attached.				
OR	chain of title from	the inventor(s), of the pat	ent application/patent k	dentified above, to the current			
B. []	ssignee as shown	below:					
1	I. From:		To:				
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i :	3. From:		To:				
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	Additional docu	ments in the chain of title	ere listed on a supplem	ental sheet.			
"!	NOTE: A separate	ents or other documents in a copy (i.e., a true copy of arment Division in accorda ords of the USPTO. See	the original assignment noe with 37 CFR Part 3	ttached. t document(s)) must be , if the assignment is to be			
The unde		e is supplied below) is su	therized to act on behal	f of the assignee.			
(Downt	20 t~		6/17/05 Date			
	7.1	Signature					
l		oanne Reiter		266 272 5555			
		d or Typed Name		Telephone Number			
	Vice President						
I		1 100					

PTO/SB/96 (09-04)
Approved for use through 07/31/2006. OM9 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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STATEMENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner: F5 Networks, Inc.				
Application No./Patent No.: 09/881,225 F	lled/Issue Date: 06/12/2001			
METHOD AND APPARATUS TO FACILITATE ENVIRONMENT	AUTOMATIC SHARING IN A CLIENT SERVER			
F5 Networks, inc. , a	corporation Assignes, e.g., corporation, pertnership, university, government agency, etc.)			
atores that it is:				
1. X the assignee of the entire right, title, and interest:	or			
2. an essignee of less than the entire right, title and	interest.			
The extent (by percentage) of its ownership inten	est is %			
In the patent application/patent identified above by virtue of	either:			
A. X An assignment from the inventor(s) of the patent a was recorded in the United States Patent and Trad Frame 0012 , or for which a copy the				
OR				
B. A chain of title from the inventor(s), of the patent a assignee as shown below:	oplication/patent identified above, to the current			
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2. From;	To:			
The document was recorded in the United St				
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Additional documents in the chain of title are fit	sted on a supplemental sheet.			
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The undersigned (whose title is supplied below) is authorize	ed to act on behalf of the assignee.			
_ Doann Ret	6/17/05			
Signature	Date			
Joanne Reiter	206 272 5555			
Printed or Typed Name	Telephone Number			
Vice President Title	<u></u>			
1198				

PTO/SB/96 (99-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Applicant/Patent Owner F5 Networks, Inc.					
Application No./Patent	No.: 09/870,252	Filed/Issue Date:	05/29/2001		
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F5 No	stworks, Inc.	CONTROL OF Assignee, e.g., corporation, par	coration marshp, university, government agency, etc.)		
states that it is:					
1. X the assigne	e of the entire right, title, and	interest; or			
2. an assigne	e of less than the entire right,	title and interest.			
	(by percentage) of its owners				
	on/patent identified above by				
A. X An assignme	ant from the inventor(s) of the	patent application/patent ident and Trademark Office at Reel	lified above. The assignment		
	in the United States Patent (014024		
OR					
	ie from the inventor(s), of the shown below:	patent application/patent ident	lified above, to the current		
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		onited States Patent and Trace			
2. From:		То:	•		
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Reel	, Frame	, or for which a cop	py thereof is attached.		
3. From:		То:			
		Inited States Patent and Trade			
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Additions	al documents in the chain of the	tle are listed on a supplement	al sheet.		
[NÔTE: A s submitted to	eparate copy (i.e., a true copy	s in the chain of title are attact of the original assignment do dance with 37 CFR Part 3, if t me MPEP 302.08)	cument(s)) must be		
		authorized to act on behalf of	the essignee.		
(de	milliote		0/17/05		
7/	Signature		/ Date		
l/	Joanna Reiter	a	c6 272 5555		
1	Printed or Typed Name		Telaphone Number		
[Vice President Title				

PTO/88/96 (09-04)

Approved for use through 67/31/2008. Use 1-0031-J.B. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)					
Applicant/Patent Owner: F5 Networks, Inc.					
Application No./Patent No.: 09/853,743 Fit	ed/issue Date: 05/10/2001				
METHOD OF SECURE COMMUNICATION OVE Entitled: USING SECURE SOCKET LAYER					
F5 Networks, Inc. , 8 (Name of Assignee) (Type of A	corporation sugner, e.g., corporation, partnership, university, government agency, etc.)				
states that it is:					
1. X the assignee of the entire right, title, and interest;	or .				
2. an assignee of less than the entire right, title and is	nterest.				
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in the patent application/patent identified above by Virtue of e					
A. An assignment from the inventor(s) of the patent app was recorded in the United States Patent and Trade Frame	mark Office at Reel 014524				
OR					
A chain of title from the Inventor(s), of the patent apparent assignee as shown below:	plication/patent identified above, to the current				
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Additional documents in the chain of title are list	ed on a supplemental sheet.				
Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. Sag MPEP 302.08]					
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.					
1 Camplet	21) 16/17/05 Dath				
Signature					
Joanne Retter	206 272-5555 Telaphone Number				
••••					
Vice President Title					
<u> </u>					

PTO/SB/96 (09-04 Approved for use through 07/31/2008. OMB 0651-0031 S. Patant and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Papersont Reduction Act of 1995, no persons are required to report to 1 consents or manufactures to the second of the				
Applicant/Patent Owner: F5 Networks, Inc.				
Application No./Patent No.: 09/273,238	Filed/Issue Date:	03/19/1999		
Emitted: OPTIMIZATIONS FOR TUNNELING BETWI	EEN A BUS AND A NET	WORK		
F5 Networks, Inc.				
(Name of Assignee) (Type states that it is:	6 (1 100 6 m) 6 6 1 10 10 10 10 10 10 10 10 10 10 10 10 1			
1. X the assignee of the entire right, title, and interes	est; or			
2. an assignee of less than the entire right, title a				
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A X An assignment from the inventor(s) of the pater		tified above. The assignment		
was recorded in the United States Patent and T Frame 0012 or for which a copy	redemark Office at Reel	014524		
OR				
A chain of title from the inventor(s), of the pater assignee as shown below:	t application/patent iden	lified above, to the current		
From: The document was recorded in the United	To:	emark Office at		
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Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]				
The understipped (whose title is supplied below) is authorized to act on behalf of the assignee.				
Skinsture		1/17/05 Date		
1 1		16 - 272 - 5555		
Joenne Reiter Printed or Typed Name		Telephone Number		
Vice President				
Title				

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Applicant/Patent Owner: F5	Networks, Inc.				
Application No./Patent No.: _	09/272,807	Filed/Issue Date:	03/19/1999		
Entitled: TUNNELING BET	WEEN A BUS AND A	NETWORK			
F5 Networks,	inc. , a	Con	poration dramble, university, government agency, etc.)		
states that it is:					
1	entire right, title, and in	terest; or			
	than the entire right, tit				
The extent (by perc	entage) of its ownership	p interest is %			
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The undersigned (whose title	is supplied below) is at	uthorized to act on behalf of	the essignee.		
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	enne Reiter or Typed Name	<i></i>	Telephone Number		
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Applicant/Pa	atent Owner: _	5 Networks, Inc.		
Application	No./Patent No.:	09/738,003	Filed/lasue Date:	12/14/2000
Entitled:	ARBITRATION	AND CROSSBAR DEVIC	E AND METHOD	
(Name of A	F5 Networks	, tnc	CC Tivos of Assignes, e.g., corporation,	orporation pernarship, university, government agency, etc.)
states that		·		
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		s than the entire right, titl		-
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The under	signed (whose tit	e is supplied below) is at	ithorized to act on behalf of	10/17/05
	s. /	Signature		Date
		canne Reiter		206272 5555
Printed or Typed Name Telephone Number				
		/ice President Title	·	

EXHIBIT C



United States Patent and Trademark Office





Electronic Patent Assignment System

Confirmation Receipt

Your assignment has been received by the USPTO. The coversheet of the assignment is displayed below:

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: Asset Purchase Agreement

CONVEYING PARTY DATA

Name	Execution Date
uRoam, Inc.	07/23/2003

RECEIVING PARTY DATA

Name:	F5 Networks, Inc.	
Street Address:	401 Elliott Avenue West	
City:	Seattle	
State/Country:	WASHINGTON	
Postal Code:	98119	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	09574738

CORRESPONDENCE DATA

Fax Number:

(212)527-7701

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone:

206-262-8906

Email:

Ismall@darbylaw.com

Correspondent Name:

John W. Branch, Darby & Darby PC

Address Line 1:

P.O. Box 5257

Address Line 4:

New York, NEW YORK 101505257

ATTORNEY DOCKET

NUMBER:

08204/0207446-US0

NAME OF SUBMITTER:

Lisa K. Small

Signature:

/Lisa K. Small/

Date:

10/02/2007

Total Attachments: 5

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RECEIPT INFORMATION

EPAS ID:

PAT376997

Receipt Date:

10/02/2007

Fee Amount:

\$40

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RECORDATION FOR	M COVER SHEET
PATENTS	ONLY
To the Director of the U.S. Patent and Trademark Office: Please	record the attached documents or the new address(es) below.
Name of conveying party(les):	2. Name and address of receiving party(ies)
uRoam, Inc.	Name: F5 Networks, Inc.
	Internal Address:
Additional name(s) of conveying party(ies) attached? Yes X No	Street Address:
3. Nature of conveyance/Execution Date(s):	
Execution Date(s): July 23, 2003	401 Elliott Avenue West
Assignment Merger Change of Name	
Security Agreement Joint Research Agreement	City: Seattle
Government Interest Assignment	State: Washington
Executive Order 9424, Confirmatory License	Country: United States of America Zip: 98119
x Other Asset Purchase Agreement	Additional name(s) & address(es) Yes X No
Asset Fulchase Agreement	attached?
4. Application or patent number(s): A. Patent Application No.(s) 09/574,738	This document is being filed together with a new application. B. Patent No.(s)
Additional numbers attached?	Yes X No
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: John W. Branch DARBY & DARBY P.C.	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
Internal Address: Atty. Dkt.: 08204/0207446-US0/10.095	X Authorized to be charged by credit card
Street Address: P.O. Box 5257	Authorized to be charged to deposit account Enclosed None required (government-interest not affecting title)
City: New York	8. Payment Information
State: NY Zip: 10150-5257	a. Credit Card Last 4 Numbers 1007
Phone Number: (208)/262-8906	Expiration Date 04/30/10
Fax Number: (2/12) 527-7701	b. Deposit Account Number
Email Address:jbranch@darbylaw.com	Authorized User Name
9. Signature:	October 1, 2007
Signature	Date
John W. Branch - 41,633 Name of Person Signing	Total number of pages including cover sheet, attachments, and documents: 6

ASSET PURCHASE AGREEMENT

by and among

F5 NETWORKS, INC.,

UROAM, INC.

and

UROAM ACQUISITION CORPORATION

July 23, 2003

SELLER DISCLOSURE LETTER

uRoam, Inc. 757 North Pastoria Avenue Sunnyvale, CA 94085

July 23, 2003

F5 Networks, Inc. 401 Elliott Avenue West Seattle, WA 98119

Seller Disclosure Letter

Gentlemen:

This Disclosure Letter is made and given pursuant to Section 3 of the Asset Purchase Agreement dated as of July 23, 2003 (the "Agreement"), by and among F5 Networks, Inc. ("Buyer"), uRoam, Inc. ("uRoam, Inc."), and uRoam Acquisition Corp. ("UAC" and together with uRoam, Inc., "Seller"). As the context requires "Seller" shall mean either (i) uRoam, Inc. alone, or (ii) UAC alone or (iii) uRoam, Inc. and UAC together. All capitalized terms used but not defined herein shall have the meanings as defined in the Agreement, unless otherwise provided. The term "Schedule" in this Disclosure Letter shall also be understood to refer to the applicable "Part" as defined in the Agreement. The Schedule numbers below correspond to the section numbers of the representations and warranties in the Agreement; provided, however, that any information disclosed herein under any Schedule number shall be deemed to be disclosed and incorporated into any other applicable section or Part under the Agreement.

Nothing in this Disclosure Letter is intended to broaden the scope of any representation or warranty contained in the Asset Purchase Agreement or to create any covenant. Inclusion of any item in this Disclosure Letter does not represent a determination that such item is material or establish a standard of materiality. The information contained herein is disclosed solely for the purposes of the Agreement, and no information contained herein shall be deemed to be an admission by any party hereto to any third party of any matter whatsoever, including without limitation, any violation of law or breach of any agreement. The information contained in this Disclosure Letter is confidential, proprietary information of Seller, and the other parties to the Agreement shall be obligated to maintain and protect such confidential information.

The representations and warranties of Seller in the Agreement are qualified in their entirety by the financial statements provided by Seller to Buyer.

0555619.18 -1-

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

F5 NETWORKS, INC., a Washington corporation

By:

John McAdam, President and Chief Executive Officer

UROAM, INC.,

a California corporation

By:

Skip Glass: President

UROAM ACQUISITION CORPORATION, a California corporation

Rv

Skip Glass, President

Schedule 3.25 Intellectual Property Assets.

Schedule 3.25 (b)

1. Seller has granted the following IP rights:

0555619.18 -24-

9. P009 - A Method and Apparatus for Accessing a Computer Behind a Firewall - 09/574,738 - No Office Action Yet.

-27-

EXHIBIT D

Electronic Acknowledgement Receipt			
EFS ID:	2287835		
Application Number:	09574738		
International Application Number:			
Confirmation Number:	9251		
Title of Invention:	Method and apparatus for accessing a computer behind a firewall		
First Named Inventor/Applicant Name:	Igor Plotnikov		
Correspondence Address:	Marina Portnova Blakely Sokoloff Taylor & Zafman LLP 12400 Wilshire Boulevard 7th Foor - Los Angeles CA 90025 US 408-720-8300 -		
Filer:	John W. Branch/Lisa Small		
Filer Authorized By:	John W. Branch		
Attorney Docket Number:	004009.P002		
Receipt Date:	05-OCT-2007		
Filing Date:	17-MAY-2000		
Time Stamp:	18:46:48		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment no	
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	80135611.pdf	28570	no	1
	Wilder and the straining Local	00 1000 11.pg/	55a7334547f806ec9dab57bd3485a689 b3e957ba	110	
Warnings:					
Information					
2	Power of Attorney	80135613.pdf	41629	no	1
_	, end of the end	00100010.pdi	22890281a65df88a01b687f045df4d780 6ca62a1		
Warnings:					
Information	:				
3	Assignee showing of ownership per	80135612.pdf	39009	no	1
	37 CFR 3.73(b).	33.7333.1 2. p.	d61782007765267df3f5ca3869961556 4b/4b6e5		
Warnings:					
Information					
		Total Files Size (in bytes):	10	9208	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/21 (04-07)

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TRANSMITTAL		Application		09/574,738 – Conf. #9251	
		Filing Date		May 17, 2000	
FORM			First Named	Inventor	Igor Plotnikov
			Art Unit		2787
(to be used for all correspondence after	initial	filing)	Examiner N	ame	Not Yet Assigned
Total Number of Pages in This Submiss	sion	3	Attorney Do	cket Numbe	08204/0207446-US0/10.095
EN	ICL	OSURES	(Check all	that appl	(y)
Fee Transmittal Form		Drawing(s)			After Allowance Communication to TC
Fee Attached		Licensing-rel	lated Papers		Appeal Communication to Board of Appeals and Interferences
Amendment/Reply		Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After Final	Petition to Convert to a Provisional Application				Proprietary Information
Affidavits/declaration(s)	×		mey, Revocation		Status Letter
Extension of Time Request	Terminal Disclaimer				Other Enclosure(s) (please Identify below):
Express Abandonment Request	Request for Refund		Refund		Statement under 37 CFR 3.73(b)
Information Disclosure Statement		CD, Number	of CD(s)		
Certified Copy of Priority Document(s)		Landso	cape Table on	CD	
Reply to Missing Parts/ Incomplete Application	F	Remarks	-		
Reply to Missing Parts under 37 CFR 1.52 or 1.53					
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	URE	OF APPLICA	ANT, ATTOF	RNEY, OR	AGENT
DARBY & DARBY F	P.C.				
Signature					
Printed name John W. Branch					
Date October 5, 2007				Reg. No.	41,633

PTC/SB/81 (01-06)
Approved for use through 12/31/2008. OMB 0651-0035
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POWER OF ATTORNEY			Application Number			09/574,738					
			Filing Date			May 17, 2000					
			First Named Inventor			Igor Plotnikov					
and CORRESPONDENCE ADDRESS INDICATION FORM							AND APPARATUS FOR ACCESSING A				
				Art Unit		2787					
			Examiner Name		Not Yet Assigned						
					Attorney Docket No.			08204/0207446-US0/10.095			
I hereby revoke all previous powers of attorney given in the above-identified application.											
I hereby appoint:											
x Practitioners associated with the Customer Number: 38878 OR Practitioner(s) named below:											
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as my/our attorney(a) or agent(s) to prosecute the application identified above, and to transact all business in the United States											
Patent and Trademark Office connected therewith.											
Please recognize or change the correspondence address for the above-identified application to:											
The address associated with the above-mentioned Customer Number:											
OR											
The address associated with Customer Number:											
Firm or Individual Name DARBY & DARBY P.C.											
Address P.O. Box 770											
Church Street Station										l	
City	New York		State	N'				10008-			
Country	US		Teleph	one (2	06) 262-89	00	Email	patent@	2darbylaw.com	n	
I am the:											
Applicant/Inventor.											
Assignee of record of the entire interest. See 37 CFR 3.71.											
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)											
		SIGNAT	URE of Ap	plicant	or Assignee	of R	ecord				
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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.											

forms are submitted.

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PTO/SB/96 (04-07)
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STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Igor Plotnikov, Alexander Sokolsky, and Michael L. Herne
Application No./Patent No.: 09/574,738 Filed/Issue Date: May 17, 2000
Entitled: A METHOD AND APPARATUS FOR ACCESSING A COMPUTER BEHIND A FIREWALL
F5 Networks, Inc. , a Corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:
1. x the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is%)
in the patent application/patent identified above by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR
B. X A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: Igor Plotnikov et al. To: uRoam, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel <u>010816</u> , Frame <u>0387</u> , or for which a copy thereof is attached.
2. From: URoam, Inc. To: F5 Networks, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel <u>019907</u> , Frame <u>0096</u> , or for which a copy thereof is attached.
3. From:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. [NOTE: A separate copy (.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.06)
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
October 5, 2007
Signature Date
John W. Branch (206) 262-8900
Printed or Typed Name Telephone Number
Attorney for Applicant
Title

Neafus, Flor S

From:

Branch, John

Sent:

Wednesday, October 17, 2007 11:54 AM

To:

John Campa (J.Campa@F5.com)

Cc:

Small, Lisa

Subject: RE: Your Ref.: 10.095; Our Ref.: 08204/0207446-US0 - Power of Attorney of Signature and copy of

Recorded Asset Purchase Agreement

john,

We called the OIPE and they said that while the Power of Attorney does show up in PAIR, it has not been processed because the application is currently abandoned. They refered us to the Art Unit that it is currently assigned (2132) to find out if we need to have the firm with the current Power of Attorney to revive the application first or if they will process our Power of Attorney without that step. We have left the Art Unit a voicemail to call us back. We will keep calling until we hear from them.

john

John W. Branch Darby & Darby P.C. 1191 Second Avenue, 20th Floor Seattle, WA 98101

206.262.8906 | direct 206.262.8901 | fax

http://www.darbylaw.com

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United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450 APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/574,738 05/17/2000 Igor Plotnikov 9251 05/21/2004 EXAMINER Marina Portnova DARROW, JUSTIN T Blakely Sokoloff Taylor & Zafman LLP ART UNIT 12400 Wilshire Boulevard 7th Foor PAPER NUMBER Los Angeles, CA 90025 2132 DATE MAILED: 05/21/2004

Please find below and/or attached an Office coadhanceanth concerning this application or proceeding.

MAY 2 5 2004

Date 8/21/2004 Docket Initials Dock. Sup. Initials		.P002	
Atty Initials Pat/Ser/Reg 574,73	MJM	I	
Description:		1	x
Response due			<u> </u>
5/25/2004	Michelle Edmund	277848	_

PTO-90C (Rev. 10/03)

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# · · · · ·	Application No.	Applicant(s)						
Office Action Comments	09/574,738	PIOTNIKOV ET AL.						
Office Action Summary	Examiner	Art Unit						
	Justin T. Darrow	2132						
- The MAILING DATE of this communication appeared for Reply	ears on the cover sheet with the c	orrespondence address						
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period wi - Fallure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	6(a). In no event, however, may a reply be tim within the statutory minimum of thirty (30) days ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication, D (35 U S C 6 133)						
Status								
1) Responsive to communication(s) filed on								
2a) ☐ This action is FINAL . 2b) ☑ This a	action is non-final.							
3) Since this application is in condition for allowand								
closed in accordance with the practice under Ex	c parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.						
Disposition of Claims								
4)⊠ Claim(s) <u>1-7 and 9-35</u> is/are pending in the appl	lication							
4a) Of the above claim(s) is/are withdraw								
5)⊠ Claim(s) <u>13-19,21-23 and 35</u> is/are allowed.		4						
6) Claim(s) 1.4.12.20,24,28 and 34 is/are rejected.								
7) Claim(s) 2,3,5-7,9-11,25-27 and 29-33 is/are ob	jected to.							
8) Claim(s) are subject to restriction and/or	election requirement.							
Application Papers								
9) The specification is objected to by the Examiner.								
10)⊠ The drawing(s) filed on 17 May 2000 is/are: a)		v the Examiner.						
Applicant may not request that any objection to the dr	· · · · · · · · · · · · · · · · · · ·							
Replacement drawing sheet(s) including the correctio								
11)☐ The oath or declaration is objected to by the Exa								
Priority under 35 U.S.C. § 119								
12) Acknowledgment is made of a claim for foreign p	rioribuundar 25 H.O.O. \$ 440/a\	(d) (D						
a) ☐ All b) ☐ Some * c) ☐ None of:	norty under 35 0.5.C. § 119(a)-	(a) or (r).						
1. Certified copies of the priority documents I	have been received							
		n No						
	 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage 							
application from the International Bureau (une riduonal olage						
* See the attached detailed Office action for a list of	,	l .						
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Attachment(s)	_							
I) ☑ Notice of References Cited (PTO-892) 2) ☑ Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary (F							
(PTO-948) Disclosure Statement(s) (PTO-1449 or PTO/SB/08)	Paper No(s)/Mail Date 5) Notice of Informal Pate							
Paper No(s)/Mail Date <u>2</u> .	6) Other:	•						
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Application/Control No. Applicant(s)/Patent Under Reexamination 09/574,738 PIOTNIKOV ET AL. Notice of References Cited Examiner Art Unit Page 1 of 1 Justin T. Darrow 2132 **U.S. PATENT DOCUMENTS Document Number** Date Name Country Code-Number-Kind Code Classification MM-YYYY US-6,463,474 B1 10-2002 Α Fuh et al. 709/225 В US-6,510,464 B1 01-2003 Grantges et al. 709/225 С US-6,598,167 B2 07-2003 Devine et al. 713/201 D US-US-Ε F US-G US-US-Н USı US-US-Κ US-L М US-FOREIGN PATENT DOCUMENTS **Document Number** Date Country Name Country Code-Number-Kind Code MM-YYYY Classification Ν 0 Р Q R S Т **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U ٧

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

NOTICE OF DRAFTSPERSON'S PATENT DRAWING REVIEW

Aapproved by the Draftsperson under 37 CFR 1.3	
B. objected to by the Draftsperson under 37 CFR drawings are required.	1.84 or 1.152 for the reasons indicated below. Corrected
1. DRAWINGS. 37 CFR 1.84(a): Acceptable categories of drawings: Black ink or Color (3 sets required).	8. ARRANGEMENT OF VIEWS. 37 CFR 1.84(i) Words do not appear on a horizontal, left-to-right fashion when page is either upright or turned so that the top becomes the right side, except for graphs. Fig(s) 9. SCALE. 37 CFR 1.84(k) Scale not large enough to show mechanism without crowding when drawing is reduced in size to two-thirds in reproduction. Fig(s) 10. CHARACTER OF LINES, NUMBERS, & LETTERS. 37 CFR 1.84(l) Lines, numbers & letters not uniformly thick and well defined, clean dyrable, and black (poor line quality). Fig(s) 11. SHADING. 37 CFR 1.84(m) Solid black areas pale. Fig(s) Solid black shading not permitted. Fig(s) 12. NUMBERS, LETTERS, & REFERENCE CHARACTERS. 37 CFR 1.84(p) Numbers and reference characters not plain and lepible. Fig(s)
21.6cm by 27.9cm (8 1/2x 11 inches) All drawing sheets not the same size. Sheet(s) Drawings sheets not an acceptable size. Fig(s) 5. MARGINS. 37 CFR 1.84(g): Acceptable margins: Top 2.5 cm Left 2.5 cm Right 1.5 cm Bottom L0.cm Margins not acceptable. Fig(s) Top (T) Right (R) Bottom (B) 6. VIEWS. 37 CFR 1.84(h) REMINDER: Specification may require revision to correspond to drawing changes, e.g if Fig. 1 is changed to Fig. 1A, Fig 1B and Fig. 1C. etc the specification, at the Brief Description of the Drawings. must likewise be changed. Views not labeled separately or properly. Fig(s) 7. SECTIONAL VIEWS. 37 CFR 1.84(h)(3) Sectional designation should be noted with Arabic or Roman numbers. Fig(s)	legible. Fig(s) Figure legends are poor. Fig(s) Numbers and reference characters not oriented in the same direction as the view. 37 CFR 1.84(p)(1) Fig(s) English alphabet not used. 37 CFR 1.84(p)(2) Fig(s) Numbers, letters and reference characters must be at least 32 cm (1/8 inch) in height. 37 CFR 1.84(p)(3). Fig(s) 13. LEAD LINES. 37 CFR 1.84(q) Lead lines missing. Fig(s) 14. NUMBERING OF SHEETS OF DRAWINGS. 37 CFR 1.84(t) Sheets not numbered consecutively, and in Arabic numerals beginning with number 1. Sheet(s) 15. NUMBERING OF VIEWS. 37 CFR 1.84(u) Views not numbered consecutively, and in Arabic numerals, beginning with number 1. Fig(s) 16. DESIGN DRAWINGS. 37 CFR 1.152 Surface shading shown not appropriate. Fig(s) Solid black surface shading is not permitted except when used to represent the color black as well as color contrast. Fig(s)
COMMENTS:	
viewer	Date 2303
you have questions, call (703) 305-8404.	Attachment to Paper No.

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DETAILED ACTION

1. Claims 1-7 and 9-35 have been presented for examination.

Drawings

2. The drawings filed on 05/17/2000 are acceptable subject to correction of the informalities indicated on the attached "Notice of Draftperson's Patent Drawing Review." Formal drawings with corrections must be made in reply to this Office action. See 37 CFR 1.85(a).

Claim Rejections - 35 USC § 112

- 3. The following is a quotation of the second paragraph of 35 U.S.C. 112:
 - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 4. Claim 20 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 20 recites the limitation "server" in line 2. There is insufficient antecedent basis for this limitation in the claim. This rejection can be overcome by deleting "server" in line 2 and replacing with --service--.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

Art Unit: 2132

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

6. Claims 1, 4, 12, 24, 28, and 34 are rejected under 35 U.S.C. 102(e) as being anticipated by Grantges, Jr. et al., U.S. Patent No. 6,510,464 B1.

As per claims 1 and 34, Grantges, Jr. et al. disclose a method and a computer readable medium, comprising:

receiving a request from a destination computer behind the firewall, the destination computer request demonstrating that the destination computer is available to be accessed (see column 4, lines 55-58; presenting multiple choices for accessing multiple applications; see column 4, lines 9-15; figure 1, items 28₁, 28₂, 28₃, 30₁, 30₂, and 30₃; where the applications reside on destination servers);

receiving a request from a remote browser to access the destination computer (see column 4, lines 33-40; figure 1, items 18, 22, and 34; the user of the client computer enters a

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destination URL into a web browser to issue an HTTP request; see column 4, lines 58-60; selecting a particular application);

associating the browser with the destination computer using the browser request and a corresponding destination computer request (see column 4, lines 58-60; displaying a welcome page to the user for the selected application); and

providing communication between the browser and the destination computer, the communication being in the form acceptable to the firewall (see column 4, lines 61-65; figure 18, 22, 20, and 32; providing an efficient mechanism for routing the remote user to the selected application being served by one of the destination servers through the firewall).

As per claim 4, Grantges, Jr. et al. further teach that the browser request is encrypted (see column 5, lines 11-15; figure 1, item 52; a secure connection for the web browser through the Secure Sockets Layer (SSL) protocol);

As per claim 12, Grantges, Jr. et al. also describe that the communication between the browser and the destination computer is provided in a secure manner (see column 5, lines 11-15; figure 1, item 52; a secure connection for the web browser through the Secure Sockets Layer (SSL) protocol).

As per claim 24, Grantges, Jr. et al. disclose a system comprising:

a destination computer issuing requests demonstrating that the destination computer is available to be accessed (see column 4, lines 55-58; presenting multiple choices for accessing

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multiple applications; see column 4, lines 9-15; figure 1, items 28₁, 28₂, 28₃, 30₁, 30₂, and 30₃; where the applications reside on destination servers);

a remote browser issuing a browser request to access the destination (see column 4, lines 33-40; figure 1, items 18, 22, and 34; the user of the client computer enters a destination URL into a web browser to issue an HTTP request; see column 4, lines 58-60; selecting a particular application); and

an intermediary service coupled to the browser and the destination computer via a network, receiving the destination request (see column 4, lines 45-60; figure 1, items 34, 52, and 18; presenting the options page to the user through the proxy server) and the browser request (see column 4, lines 33-40; figure 1, items 22 and 34; the web browser issuing an HTTP request across the insecure network through the proxy server), associating the browser with the destination computer using the browser request and a corresponding destination computer request (see column 4, lines 58-60; displaying a welcome page to the user for the selected application); and

providing communication between the browser and the destination computer, the communication being in the form acceptable to the firewall (see column 4, lines 61-65; figure 18, 22, 20, and 32; providing an efficient mechanism for routing the remote user to the selected application being served by one of the destination servers through the firewall).

As per claim 28, Grantges, Jr. et al. also describe that the intermediary service provides secure communication between the browser and the destination computer (see column 5, lines

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11-15; figure 1, item 52; a secure connection for the web browser with the proxy server through the Secure Sockets Layer (SSL) protocol).

Allowable Subject Matter

- 7. Claims 13-19, 21-23, and 35 are allowed.
- 8. Claims 2, 3, 5-7, 9-11, 25-27, and 29-33 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- Claim 20 would be allowable if rewritten or amended to overcome the rejection under 35
 U.S.C. 112, second paragraph, set forth in this Office action.
- 10. The following is an examiner's statement of reasons for allowance:
- 11. Claims 13-19 and 21-23; and claim 35 are drawn to a method and a computer readable medium for providing access to a destination computer, respectively. The closest prior art, Grantges, Jr. et al., U.S. Patent No. 6,510,464 B1, discloses a similar method and computer readable medium. However, they neither teach nor suggest sending destination computer requests to an intermediary service at predetermined intervals, demonstrating that the destination computer is available to be accessed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- Fuh et al., U.S. Patent No. 6,463,474 B1, disclose a method and apparatus for providing network access through a firewall
- Devine et al., U.S. Patent No. 6,598,167 B2, describe security protocols to protect remote user communications with remote enterprise services

Telephone Inquiry Contacts

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Justin T. Darrow whose telephone number is (703) 305-3872 and whose electronic mail address is justin.darrow@uspto.gov. The examiner can normally be reached Monday-Friday from 8:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barrón, Jr., can be reached at (703) 305-1830.

The fax number for Formal or Official faxes to Technology Center 2100 is (703) 872-9306. In order for a formal paper transmitted by fax to be entered into the application file, the paper and/or fax cover sheet must be signed by a representative for the applicant. Faxed formal papers for application file entry, such as amendments adding claims, extensions of time, and statutory disclaimers for which fees must be charged before entry, must be transmitted with an authorization to charge a deposit account to cover such fees. It is also recommended that the cover sheet for the fax of a formal paper have printed "OFFICIAL FAX". Formal papers

Art Unit: 2132

transmitted by fax usually require three business days for entry into the application file and consideration by the examiner. Formal or Official faxes including amendments after final rejection (37 CFR 1.116) should be submitted to (703) 872-9306 for expedited entry into the application file. It is further recommended that the cover sheet for the fax containing an amendment after final rejection have printed not only "OFFICIAL FAX" but also "AMENDMENT AFTER FINAL".

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3900.

May 17, 2004

JUSTIN T. DARROW PRIMARY EXAMINER TECHNOLOGY CENTER 2100

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Form PTO-1449 Atty Docket No.: Serial No.:												
INFORMATION DISCLOSURE 1 2 200 G 004009.P002 09/574,738 CITATION												
List of Pa	atents	and	Publicatio	ns Sta			Applican	ıt:		1		
(Use several sheets if necessary) Igor Piotnikov, et al.												
		,			···		Filing Da	te: M	ay 17	<mark>7, 2000</mark>		
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EXHIBIT G

BLAKELY

A LIMITED LIABILITY PARTNERSHIP INCLUDING LAW CORPORATIONS

TELEPHONE (408) 720-8300

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INTELLECTUAL PROPERTY LAW

SILICON VALLEY

1279 OAKMEAD PARKWAY SUNNYVALE, CALIFORNIA 94085-4040 OTHER OFFICES

LOS ANGELES, CA ORANGE COUNTY/COSTA MESA, CA SAN JOSE, CA DENVER, CO PORTLAND/LAKE OSWEGO, OR

SEATTLE, WA

August 17, 2004

Mary Titus UROAM, INC. 757 North Pastoria Avenue Sunnyvale, California 94085

CONFIDENTIAL ATTORNEY-CLIENT PRIVILEGED ·

Re:

U.S. Patent Application for

A METHOD AND APPARATUS FOR ACCESSING A

COMPUTER BEHIND A FIREWALL

Application No.: 09/574,738

Filed: May 17, 2000

Our Ref. No.: 003829.P009

Dear Mary:

Enclosed for your review is a copy of an Office Action that we received from the U.S. Patent Office in reference to the above-identified patent application. Please note that Claims 13-19, 21-23 and 35 are allowed. Furthermore, Claims 2, 3, 5-7, 9-11, 25-27 and 29-33 would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

From our understanding, you do not wish to pursue this matter any further, which will result in this application abandoning. Should you decide to file a response to the Office Action, the total cost would amount to approximately \$2,500.00.

Please call me once you have finished your review so that we can discuss this matter.

Thank you for your assistance. We look forward to hearing from you.

Very truly yours,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Michael J. Mallie.

MJM/if

encl.

EXHIBIT Ḥ



United States Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/574,738	05/17/2000	Igor Plotnikov	004009.P002	9251
75	90 12/14/2004		EXAMI	NER
Marina Portno			DARROW,	JUSTIN T
	ff Taylor & Zafman Ll Boulevard 7th Foor	DECEIVE	ART UNIT	PAPER NUMBER
Los Angeles, C		Ke Cer vell	2132	*
		II D	DATE MAILED: 12/14/2004	,
		DEC 1 6 2004		

Please find below and/or attached an Office communication concerning this application or proceeding.

12/20/2004	Natalie	Adair	355303	<u></u>
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Déadline to rev	ive abandoned	application		* * *
Description:			39	X .
Pat/Ser/Reg	574738			
Atty Initials		МЛМ		
Dock. Sup. Ir	iitials			
Docket Initia	ils	4009.I		
Date 3/14/2		Client: URoai	n. Inc.	

Date 2/14/200	5 CI	ient: URoan		
Docket Initials		4009.P	•	
Dock. Sup. Init	ials			
Atty Initials		МЈМ		
Pat/Ser/Reg 5' Description:	74738		: 3	9r
Reminder: Deadli	ne to revive ab	andoned appli	cation is 3/	14
12/20/2004	Natalie A	dair	355304	·

PTO-90C (Rev. 10/03)

03829.0009

	Application No.	Applicant(s)
Notice of Abandonment	09/574,738	PIOTNIKOV ET AL.
Nouce of Abandonment	Examiner	Art Unit
	Justin T. Darrow	2132
The MAILING DATE of this communication app		
This application is abandoned in view of:		
Applicant's failure to timely file a proper reply to the Office (a) ☐ A reply was received on (with a Certificate of M period for reply (including a total extension of time of (b) ☐ A proposed reply was received on, but it does not a second or, but it does not go to a second or, but it does not go to a second or, but it does not go to a second or, but it does not go to a second or, but it does not go to a second or, but it does not go to a second or, but it does not go to a second or, but it does not go to a second or, but it does not go to a second or, but it does not go to a second or, but it does not go to a second or, but it does not go to	ailing or Transmission dated month(s)) which expired on	
(A proper reply under 37 CFR 1.113 to a final rejection application in condition for allowance; (2) a timely filed Continued Examination (RCE) in compliance with 37 C	consists only of: (1) a timely filed ar Notice of Appeal (with appeal fee); o	mendment which places the
(c) ☐ A reply was received on but it does not constitution final rejection. See 37 CFR 1.85(a) and 1.111. (See e	ite a proper reply, or a bona fide atte	mpt at a proper reply, to the non-
(d) ☑ No reply has been received.		
 Applicant's failure to timely pay the required issue fee and from the mailing date of the Notice of Allowance (PTOL-89). (a)	5). received on (with a Certifica	ate of Mailing or Transmission dated
Allowance (PTOL-85).		化自动气体 医含氧磷矿矿
(b) The submitted fee of \$ is insufficient. A balance		
The issue fee required by 37 CFR 1.18 is \$ T		CFR 1.18(d), is \$
(c) The issue fee and publication fee, if applicable, has no	t been received.	
 Applicant's failure to timely file corrected drawings as requing Allowability (PTO-37). 		
(a) ☐ Proposed corrected drawings were received on after the expiration of the period for reply.	(with a Certificate of Mailing or Tran	smission dated), which is
(b) \(\subseteq \) No corrected drawings have been received.		
 The letter of express abandonment which is signed by the the applicants. 	attomey or agent of record, the assi	gnee of the entire interest, or all of
		医内部结合性炎 法保险法
 The letter of express abandonment which is signed by an 1.34(a)) upon the filing of a continuing application. 	attorney or agent (acting in a repres	entative capacity under 37 CFR
6. The decision by the Board of Patent Appeals and Interfere of the decision has expired and there are no allowed claim	ence rendered on and becaus is.	e the period for seeking court review
7. ☐ The reason(s) below:		
	JÚSTI PRIMA	IN T. DARROW IN T. DARROW IN EXAMINER OGY CENTER 2100
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw		당시 그 우리 내 및 점조 상상성 독
minimize any penative effects on natent term	and noturing of abandonment under 37 (ALL 1. 101, Should be promptly filed to

U.S. Patent and Trademark Office PTOL-1432 (Rev. 04-01)



TAYLOR & ZAFMAN BLAKELY SOKOLOFF FROM:

A LIMITED LIABILITY PARTNERSHIP INCLUDING LAW CORPORATIONS 1279 OAKMEAD PARKWAY SUNNYVALE, CALIFORNIA 94085-4040

Sunnyvale, California 94085 757 North Pastoria Avenue To: Mary Titus UROAM, INC.